

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) PTO Form 1449 (Modified) List of References	Attorney Docket No. 061165-0011-US	Serial No. 10/603,100
	Applicants Waelbroeck et al.	
	Filing Date Jun 24, 2003	Group 3624

U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
	5,845,266	Dec-1998	Lupien et al.			
	5,689,652	Apr-1995 11/97	Lupien et al.			

PAP
9/4/08

FOREIGN PATENT DOCUMENTS							
	Document Number	Date	Country	Class	Sub Class	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

Examiner /Bruce Ebersman/	Date Considered 06/04/2008
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*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
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	2003/0083973	01-May-2003	Horsfall			
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FOREIGN PATENT DOCUMENTS							
	Document Number	Date	Country	Class	Sub Class	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
		PCT/ISA/210 for PCT/US07/08745 dated October 18, 2007

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*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
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FOREIGN PATENT DOCUMENTS							
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	EP Appln. No. 05729202 Supplementary European Search Report, 05-Feb-2008
	Domowitz I: "A Taxonomy of Automated Trade Execution Systems", Journal of International Money and Finance of Butterworth Scientific, Guildford, GB, vol. 12, 1993, pgs. 607-632
	Hendershott T: "Electronic Trading In Financial Markets", IT Pro, July/August 2003, pgs. 10-14

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